

# UNIVERSITY OF CALIFORNIA

BERKELEY • DAVIS • IRVINE • LOS ANGELES • RIVERSIDE • SAN DIEGO • SAN FRANCISCO



SANTA BARBARA • SANTA CRUZ

OFFICE OF THE SENIOR VICE PRESIDENT —  
BUSINESS AND FINANCE

OFFICE OF THE PRESIDENT  
300 Lakeside Drive  
Oakland, California 94612-3550

February 20, 1997

CHESTER FERGUSON  
MABEL LAI  
BOB MERRYMAN  
XUAN MY HO

CAROLINE RIDER  
JUDY SIMS  
BARBARA VANDEN BORRE

Re:	Release:	1111
	Service Request:	12579
	Error Reports:	None
	Programs:	None
	DB2 Programs:	PPI730, PPIIVR
	CICS Programs:	None
	Copymembers:	CPWSUCRS
	Include Members:	None
	DDL Members:	None
	Bind Members:	None
	CICS Maps:	None
	Forms:	UPAY872
	Table Updates:	Gross-to-Net
	Urgency:	Date-mandated (See Timing of Installation)

This release addresses the following Service Request:

## **Service Request 12579**

Service Request 12579 asks that modifications be made to the Payroll/Personnel System (PPS) to allow tracking of multiple UCRS buybacks to comply with 415C regulations requiring more stringent reporting on retirement service credit buybacks.

Currently, UCOP Benefits provides campus and lab payroll locations with a single buyback amount and declining balance. Gross-to-Net (GTN) number 029 is used to establish the GTN deduction and declining balance. The deduction amount is passed to UCRP on the monthly UCRS interface file, mapped to UCRS Plan 20.

New regulations require that each buyback be tracked separately rather than being lumped into a single amount. In addition, some buybacks may be on a pre-tax basis and others may be on a post-tax basis. Therefore, UCOP Benefits has asked that PPS be modified to facilitate tracking of multiple buyback agreements. Separate buyback agreements should be provided to UCRS for those employees who have buyback activities. In addition, UCOP Benefits requested that the employee's YTD Federal Withholding Taxable Gross (EDB 5502) and Prior YTD Federal Withholding Taxable Gross (EDB 0481) be recorded

separately on the Employee's UCRS Interface record, each with a dummy Plan Code of '00' on the Contribution Segments.

Ten GTN numbers have been reserved for UCRS buyback processing; five GTN numbers will be for pre-tax buybacks, and five GTN numbers will be for post-tax buybacks. Each pre-tax buyback and/or post-tax buyback deduction associated with the GTN number should be recorded on the employee's UCRS Interface record.

### **DB2 Program**

#### PPI730

DB2 program PPI730 has been modified to process multiple buyback deductions on the employee's PAR record(s). A Contribution Segment on the employee's UCRS Interface record is created for each buyback agreement. In addition, the employee's YTD Federal Withholding Taxable Gross (EDB 5502) and Prior YTD Federal Withholding Taxable Gross (EDB 0481) are recorded separately in the YTD Contribution field of the Contribution Segments, each with a dummy Plan Code of '00'.

#### PPIIVR

Currently, a table in the Working Storage section contains pre-defined entries for Group number, Campus GTN number, and IVR GTN number. If the GTN number is defined on this table, the deduction will be selected for reporting to the IVR system. Note that existing GTN number 029 (UCRS post-tax buyback) is defined in Group number 7.

GTN numbers 351, 352, 353, 354, 355, 361, 362, 363, 364, and 365 associated with UCRS buyback agreements have been added to the above table under Group number 7.

### **Copymember**

#### CPWSUCRS

The record structure in CPWSUCRS defines the UCRS Interface record.

The first three characters of the existing filler named *FILLER-AVAIL-C* has been reserved to carry the GTN associated with the appropriate buyback agreement. The new field is named *UCRS-BUYBACK-GTN*. The length of *FILLER-AVAIL-C* has been decreased to 14. Note that the total length of the existing UCRS Interface record has not changed.

### **Forms**

#### UPAY872

UCOP Benefits is developing a form for entering the G-balance and declining balance amounts for the new buyback GTN numbers. The transactions are in the standard X1 transaction format. A sample form

will be made available to the campuses from UCOP Benefits once the form has been finalized.

### **Table Updates**

#### **Gross-to-Net**

New buyback GTN numbers 351, 352, 353, 354, 355, 361, 362, 363, 364, and 365 have been added to the Gross-to-Net Table. Payroll Coordination at UCOP has determined the GTN numbers to be reserved for

the buybacks, and will provide the required GTN Table entries for the campuses. In addition, obsoleted GTN number 227 has been deleted from the Gross-to-Net Table.

The update transactions in PAYDIST.R1111.CARDLIB(GTNTEST) and the completed form UPAY545 sent with this release are supplied for ***Test Only***.

### **Installation Instructions**

Installation of this release requires the following steps:

1. Modify and install ***Batch DB2 Programs*** PPIIVR and PPI730.
2. Modify and install copymember CPWSUCRS.
3. DB2 pre-compile, compile, and link ***Modified*** Batch programs PPIIVR and PPI730 into the batch loadlib (LOADLIB).
4. Bind plans for PPIIVR and PPI730.
5. Execute and verify the Test Plan, per supplied instructions.
6. Perform any desired local testing.
7. Install all the objects in production.
8. Update the Production VSAM Gross-to-Net Table.

The transactions supplied in PAYDIST.R1111.CARDLIB(GTNTEST) are for ***test*** only. ***Ask your local Payroll Manager for the appropriate transactions.***

9. Run PPP851 to update the Production DB2 Gross-to-Net Table.

### **Timing of Installation**

Installation of this release is date-mandated. All campuses must install this release at the same time due to changes in file format on the UCRS Interface File.

Program PPIIVR should be installed as soon as possible, and before any of the GTN buybacks are established on the EDB. PPIIVR does not need to be installed at the same time PPI730 is installed (See paragraph below).

Chester Ferguson, et al  
page 4  
February 20, 1997

Program PPI730 and copymember CPWSUCRS must be installed after the March, 1997 Interface Files reflecting February earnings have already been created, but before the creation of the April, 1997 Interface Files reflecting March earnings which are due in early April. Due to the changes in file format, the changed file format must be reflected on the creation of files beginning with the April, 1997 interface file reflecting March earnings and on later scheduled interface files.

As usual, campuses are encouraged to install this release in as timely a fashion as possible, and in the normal numeric sequence of releases.

If there are any questions, please send electronic mail to Jackson.Quan@ucop.edu, or call at 1 - (510) 987-0464.

Jackson Quan

cc: Alice Aznar  
Lou Browdy  
Dennis Clouse  
Jim Dolgonas  
Jon Good  
Linda Honzik  
Kathleen Keller  
John Morehead  
Michael O'Neill  
Doug Patten  
Sadie Ringgold  
Carol Shinker  
Eleanor Skarakis  
Debbie Tran  
Jerry Wilcox