Service Request 14827

The Internal Revenue Service (IRS) requires that payments to non-citizens be taxed according to their residency status. The Citizenship Status Code indicates an employee’s United States residency status for Federal income tax purposes. Service Request 13216 requested that an ability be added to the Payroll/Personnel System (PPS) to identify alien employees who are exempt from Federal Income Tax Withholding based upon a tax treaty agreement, but are subject to FICA (OASDI/Medicare) taxes. To accomplish this, Citizenship Status Code ‘F’ (DE 0109) was added in Release 1191.

Service Request 14827 requests that when the Tax Treaty End Date (DE1172) has been achieved for Citizenship Status Code value ‘F’ and Country Code (DE 0143) value is ‘MX’, ‘JA’, ‘KS’ or ‘CA’ the Citizenship Status Code should be reset to ‘R’ instead of ‘A’.

Service Request 14828

The IRS requires that payments to non-citizens be taxed according to their residency status. The Citizenship Status Code indicates an employee’s United States residency status for Federal tax purposes. Service Request 14828 requests that an ability be added to the Payroll/Personnel System (PPS) to identify nonresident alien student employees who are from India and who are eligible for most of the same Federal exemptions as U.S. residents based upon the U.S. – India Income Tax Treaty agreement. These employees from India are identified on the EDB as having a Country of Residency (DE 0143) of ‘IN’ and a UC Student Status Code (DE 0119) of ‘3’, ‘4’, ‘6’, or ‘7’.

To accomplish this, a new Citizenship Status Code ‘S’ (DE 0109) has been added. This code has been established, in most
program code, in the same manner as Citizenship Status Code ‘A’, except that:

- these employees do not have the Additional Nonresident Alien Federal Withholding Tax (GTN301) established on their EDB records
- the value of Federal Tax– Marital Status Code (DE 0127) must be ‘S’
- these employees may claim more than one Federal Withholding Allowance (DE 0128).

An Addendum, dated December 12, 1999, requested further edits to those identified in the original requirement document.

### Service Request 14829

The Internal Revenue Service requires that payments to non-citizens be taxed according to their residency status. The Citizenship Status Code indicates an employee’s United States residency status for Federal tax purposes. Service Request 14829 requested that an ability be added to the Payroll/Personnel System (PPS) to identify alien employees who hold time-based visas such as H-1 or J-1 visas and have filed an application with the Immigration and Naturalization Service (INS) for Permanent Resident Status.

To accomplish this, a new Citizenship Status Code ‘P’ (DE0109) has been added. This code has been established, in most program code, in the same manner as Citizenship Status Code ‘R’, except that these employees may not have a Visa Code (DE0110) of ‘PR’.

### Programs

**PPSISRPT**

This is the Input/Output program for production of the Student Information System Report File. Currently the record length (LRECL) of the Student Information System Report File is 148 bytes. With this release, the length has been increased to 164 bytes.

**DB2 Programs**

**PPO1279A**

This one-time program establishes the Additional Nonresident Alien Federal Withholding Tax (GTN0301) for employees with a Citizenship Status Code of ‘A’ and a Country of Residency Code of ‘CA’, ‘MX’, ‘JA’, or ‘KS’. This program can be run in non-update and update mode. It should be run in non-update mode and the reported projected results reviewed prior to running it in update mode.

**PPO1279B**

This one-time program modifies Citizenship Status from ‘N’ to ‘S’ for employees from India whose Student Status is equal to 3, 4, 6, or 7. In addition, the Non Resident Federal Alien Tax (GTN0301) G-balance and effective date will be cleared for those same employees. This program can be run in non-update and update mode. It should be run in non-update mode and the reported projected results reviewed prior to running it in update mode.

**PPO1279C**

This one-time program displays employee information on employee records with a Citizenship Status Code value of ‘R’ and a Country of Residency Code of ‘IN’ and a Visa Code not equal to ‘PR’. This program is a reporting program only.

**PPEC101**

PPEC101 is the consistency edit routine for data related to the Retirement System Code. With this release, existing edits for Citizenship Status Code ‘R’ have been modified to also edit for new Citizenship Status Code ‘P’.
PPEC110
PPEC110 performs Marital Status, Federal Tax Exemption, 8233 Form Indicator and Citizenship Status Code consistency edits. With this release, consistency edits and implied maintenance for new Citizenship Status Code ‘S’ have been added. Code has also been added to establish Additional Nonresident Alien Federal Withholding Tax for Citizenship Status Code ‘A’.

PPEC111
PPEC111 performs Visa Type and Citizenship Status Code consistency edits. With this release, consistency edits and implied maintenance for new Citizenship Status Codes ‘P’ and ‘S’ have been added.

PPEC130
PPEC130 performs Country of Origin and Citizenship Status Code consistency edits. With this release, consistency edits and implied maintenance for new Citizenship Status Code ‘S’ have been added.

PPEM001
PPEM001 performs Tax Treaty and Citizenship Status Code monthly periodic implied maintenance edits that reset values when the Tax Treaty End Date or Dollar Amount is exceeded. Currently, when the value of the Tax Treaty End Date (DE 1172) has been achieved for Citizenship Status Code value ‘F’ and Country Code value of ‘CA’, ‘MX’, ‘JA’, or ‘KS’, the Citizenship Status Code is reset to ‘A’ otherwise it is set to ‘N’. With this release, when the Tax Treaty End Date has been achieved for employees with Citizenship Status Code ‘F’ and Country Code value of ‘CA’, ‘MX’, ‘JA’, or ‘KS’, the Citizenship Status Code is reset to ‘R’. In addition, the Additional Nonresident Alien Federal Withholding Tax (GTN0301) for employees with a Citizenship Status Code of ‘A’ and a Country Code of ‘CA’, ‘MX’, ‘JA’, or ‘KS’ is now established.

PPES101
PPES101 updates the EDB during Special Process 1, the Student Information System Update Process, using SIS table records created from the SISFILE input file. With this release, consistency edits and implied maintenance for new Citizenship Status Code ‘S’ have been added.

This program sets the Citizenship Status Code to ‘N’ for employee records where the Citizenship Status Code is equal to ‘S’ and the Student Status Code is equal to ‘1’, ‘2’, or ‘5’. For this same population, the following is also done:
- If the value of Federal Withholding Allowances (DE 0128) is in excess of ‘1’ then it is set to ‘1’.
- If Federal Marital Status (DE 0127) is not ‘S’ then it is set to ‘S’.
- Additional Nonresident Alien Federal Withholding Tax (GTN0301) is established.

This program sets the Citizenship Status Code to ‘S’ for employee records where the Citizenship Status Code is equal to ‘N’ and the Country of Residency Code is equal to ‘IN’ and the Student Status Code is equal to ‘3’, ‘4’, ‘6’, or ‘7’. For this same population, the following is also done:
- If Federal Marital Status (DE 0127) is not ‘S’ then it is set to ‘S’.
- Additional Nonresident Alien Federal Withholding Tax (GTN0301) is cleared.

Report PPP1371 reports on these changes.

PPES103
PPES103 updates the EDB during Special Process 3, the Student Information System Beginning of Academic Year Processing. With this release, consistency edits and implied maintenance for new Citizenship Status Code ‘S’ have been added.

This program sets the Citizenship Status Code to ‘N’ for employee records where the Citizenship Status Code is equal to ‘S’ and the Student Status Code is equal to ‘1’, ‘2’, or ‘5’. For this same population, the following is also done:
- If the value of Federal Withholding Allowances (DE 0128) is in excess of ‘1’ then it is set to ‘1’.
- If Federal Marital Status (DE 0127) is not ‘S’ then it is set to ‘S’.
- Additional Nonresident Alien Federal Withholding Tax (GTN0301) is established.

This program sets the Citizenship Status Code to ‘S’ for employee records where the Citizenship Status Code is equal to ‘N’ and the Country of Residency Code is equal to ‘IN’ and the Student Status Code is equal to ‘3’, ‘4’, ‘6’, or ‘7’. For this same population, the following is also done:
• If Federal Marital Status (DE 0127) is not ‘S’ then it is set to ‘S’.
• Additional Nonresident Alien Federal Withholding Tax (GTN0301) is cleared.

Report PPP1371 reports on these changes.

PPFICRET
PPFICRET performs the derivation of Retirement/FICA and UI codes for all employees. With this release, existing data edits for Citizenship Status Code ‘A’ have been modified to also include new Citizenship Status Code ‘S’.

PPEY001
PPEY001 performs Tax Treaty and Citizenship Status Code calendar year-end periodic implied maintenance edits that reset values when the Tax Treaty End Date is achieved. Currently, when the value of the Tax Treaty End Date (DE1172) has been achieved for Citizenship Status Code value ‘F’ and Country Code value of ‘CA’, ‘MX’, ‘JA’, or ‘KS’, the Citizenship Status Code is reset to ‘A’ otherwise it is set to ‘N’. With this release, when the Tax Treaty End Date has been achieved for employees with Citizenship Status Code ‘F’ and Country Code value of ‘CA’, ‘MX’, ‘JA’, or ‘KS’, the Citizenship Status Code is reset to ‘R’. In addition, the Additional Nonresident Alien Federal Withholding Tax (GTN0301) for employees with a Citizenship Status Code of ‘A’ and a Country Code of ‘CA’, ‘MX’, ‘JA’, or ‘KS’ is now established.

PPP131
PPP131 produces the Student Information System reports using the SISRPT file as input. Currently the record length (LRECL) of the Student Information System Report File is 148 bytes. With this release, the length has been increased to 164 bytes.

PPP137 (New)
PPP137 produces the ‘Student Status Code Changes for Indian Students’ report (PPP1371). This program is run as part of the Student Information System reporting process (Special Process 1 and Special Process 3). It is run in addition to program PPP131.

PPP583

PPP690
PPP690 produces reports related to the receipt and processing of an employee’s W4 form. With this release, existing data edits for Citizenship Status Code ‘R’ have been modified to also edit for new Citizenship Status Code ‘P’.

PPSISRPT
PPSISRPT is the input output module called by the programs opening, closing or writing to the Student Information System Report File during EDB Periodic Maintenance. Currently the record length (LRECL) of the Student Information System Report File is 148 bytes. With this release, the length has been increased to 164 bytes.

Copy members

CPLNKSIS
This copymember is the linkage for program PPSISRPT. The length of Student Information Services (SIS) Report Record has been increased.

CPWSW88S
This copymember is used to define various common PPS working storage fields and related 88 value fields. 88 level values for new Citizenship Status Codes ‘S’ and ‘P’ were added.

CPWSXSRF
This copymember provides a layout of the Student Information System Report File created by program PPP130. It has been expanded to include fields required for new Report 8, Student Status Code Changes for East Indian Students produced by program PPP137.
Include members

PPO1279A
This include member defines the working storage and table declare for the employee data selection for one-time program PPO1279A.

PPO1279B
This include member defines the working storage and table declare for the employee data selection for one-time program PPO1279B.

PPO1279C
This include member defines the working storage and table declare for the employee data selection for one-time program PPO1279C.

Bind Members

PPO1279A (new)
This is the plan bind for one-time program PPO1279A.

PPO1279B (new)
This is the plan bind for one-time program PPO1279B.

PPO1279C (new)
This is the plan bind for one-time program PPO1279C.

PPP130
The following DBRMs have been added to this bind statement:
  PPES101
  PPES103

PPP137 (new)
This is the plan bind for new program PPP137.

Forms

PPP137 Program Run Specification (UPAY893)
UPAY893 is the PPP137 Program Run Specification form. A sample form is available at http://www.ucop.edu/payroll/forms.htm.

Table Updates

Code Translation Table
A code translation has been added for the new values ‘S’ and ‘P’ for Citizenship Status Code data element (DE 0109). These transactions are in PAYDIST.R1279.CARDLIB.

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>A38</td>
<td>EDB0109 P 18Pending Perm Res</td>
</tr>
<tr>
<td>A38</td>
<td>EDB0109 S 18Student from India</td>
</tr>
</tbody>
</table>

These transactions and the completed facsimile form UPAY814 are supplied for both test and production.

Data Element Table
The new values ‘S’ and ‘P’ have been added to Citizenship Status Code data element (DE 0109). New Consistency Edit triggers have been added to UC Student Status Code (DE 0119) and Country of Residency (DE 0143). These transactions are in PAYDIST.R1279.CARDLIB.
These transactions and the completed facsimile form UPAY553 are supplied for both test and production.

**System Messages Table.**
The following messages have been modified.

08-460 FED TAX MARITAL STATUS MUST BE 'S' FOR CITZ CODE A,N,E,F,X,S
12-239 FED TAX MARITAL STATUS MUST BE 'S' FOR CITZ CODE A,N,E,F,X,S
08-470 CITIZEN CODE R OR P HAS NO VISA TYPE

The following messages have been added:

13-800 PPP137: RUN SPECIFICATION RECORD:
13-801 PPP137: RUN SPECIFICATION RECORD IS MISSING
13-802 PPP137: INVALID RUN SPECIFICATION RECORD PROGRAM ID
13-803 PPP137: ONE OR MORE SORT FLAGS IS INVALID
13-804 PPP137: AT LEAST ONE REPORT SORT MUST BE REQUESTED
13-805 PPP137: STUDENT INFORMATION FILE EMPTY – EXECUTION HALTED
08-440 STUDENT EMP FROM INDIA CTZN CODE MUST BE 'S'
12-270 STUDENT EMP FROM INDIA CTZN CODE MUST BE 'S'
08-441 STUDENT STAT CODE 1, 2, OR 5 CANNOT BE CITZ CODE 'S'
12-271 STUDENT STAT CODE 1, 2, OR 5 CANNOT BE CITZ CODE 'S'
08-443 COUNTRY OF RESIDENCY MUST BE 'IN'
12-273 COUNTRY OF RESIDENCY MUST BE 'IN'

These transactions and the completed facsimile form UPAY555 are supplied for both test and production. These transactions are in PAYDIST.R1279.CARDLIB. Campuses should review the Message Severities and adjust as necessary.

**CICS Helpertext**
The field level help text for Citizenship Status Code has been updated with the new values ‘P’ and ‘S’ and provided with this release.

**JCL Changes**
Currently the record length (LRECL) of the Student Information System Report File is 148 bytes. With this release, the length has been increased to 164 bytes. JCL that references this file should be modified accordingly.

**Test Plan**
A complete test plan is provided with this release. Campuses are encouraged to use the base system test materials; as well as performing any other desired local tests.

**Installation Instructions**
Installation instructions are provided in a separate document.
Timing of Installation

The timing of this release is not urgent. As usual, campuses are encouraged to install this release in as timely a fashion as possible, and in the normal numeric sequence of releases.

If there are any questions, please send electronic mail to Susan.Isaacs@ucop.edu, or call (510) 987-0012.

Susan Isaacs

cc: Jim Dolgonas
    Jerry Wilcox